



PATENT  
Serial No. 09/841,834

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Inventor(s) Olga Kapitskaia  
Raymond T. Ng  
Divesh Srivastava

Serial No. 09/841,834 Group Art Unit : 2152

Filing Date April 25, 2001

Docket No 2000-0255 Examiner: TBA

Title METHOD FOR USING QUERY TEMPLATES IN DIRECTORY  
CACHES

RECEIVED  
FEB 15 2002  
OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

DECLARATION OF FACTS UNDER 37 CFR 1.47(a)

SIR:

I, Felicia Adadevoh, hereby declare and say that:

1. I am a Patent Prosecution Specialist for AT&T Corp., and, as such I am familiar with and have first-hand knowledge of the facts recited below.

2. The above-identified application was originally filed naming Olga Kapitskaia as a joint co-inventor.

3. After the application was filed, the joint inventor, Olga Kapitskaia, was unreachable and/or refused to join in the application and, accordingly, was unable to sign the Oath or Declaration as required under 37 C.F.R. 1.63.

4. The circumstances surrounding the inability to find or reach the joint inventor, Olga Kapitskaia, was that diligent effort was made to have Olga Kapitskaia sign the Oath or Declaration as required by 37 C.F.R. 1.63.

5. The exact facts which are relied upon to establish that a diligent effort was made to have the joint inventor Olga Kapitskaia sign the Declaration and Oath are as follows:

(a) Prior to August 15, 2001, I attempted to obtain internally at AT&T Corp. an address where I could reach Olga Kapitskaia who had left the employment of AT&T Corp.

(b) On August 15, 2001, I received an e-mail from administrative staff within AT&T Corp. indicating that Olga Kapitskaia's e-mail address was olga.kapitskaia@devinci.fr.

(c) On August 15, 2001, I sent an e-mail to the above e-mail address requesting that Olga Kapitskaia send me a mailing address where I could send the formal papers for her signature.

(d) On August 28, 2001, I received an e-mail from Olga Kapitskaia indicating that her home address was: Olga Kapitskaia, 32 bis rue du Cotentin, 75015 Paris France.

(e) In late August 2001, I proceeded to mail the Declaration and Oath for her signature along with other papers to the above identified address using Federal Express International Air Waybill, Tracking No. 400-6606 8505. (See Attached Exhibit A) The Federal Express package was not returned to sender so presumably reached its destination.

(f) On October 8, 2001, I sent an e-mail to the above-identified e-mail address asking whether Olga Kapitskaia had received the Federal Express package. I did not receive a response. In November 2001, I contacted Divesh Srivastava, a joint inventor on the above-identified application, to encourage him to contact Olga Kapitskaia. Divesh Srivastava agreed to contact Olga Kapitskaia to encourage her to sign the Declaration and Oath.

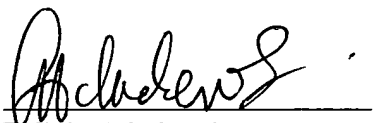
(g) On December 13, 2001, I again tried to contact Olga Kapitskaia by sending an e-mail to the above-identified e-mail address requesting that she sign the Declaration and Oath and return it to us as soon as possible. I did not receive a reply.

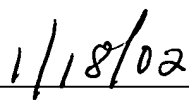
(h) In early January 2002, I again tried to contact Olga Kapitskaia multiple times to request that she sign and return the Declaration and Oath. I tried to contact her by e-mail. I tried to contact her by telephone in France (+33 0 1 41167176). I tried to contact her by fax number in France (+33 0 1 41167171). I did not receive a reply.

(i) On January 18, 2002, concurrent with the present filing of this declaration, I received an e-mail indicating that Olga Kapitskaia had received by communications and would try to sign and return the Declaration and Oath next week. However, next week is past the deadline even with extension fees for the missing parts notice.

6. Based on the foregoing sequence of events, and copies of the documentary evidence submitted herewith, the undersigned establishes that a diligent effort was made to find the inventor, Olga Kapitskaia. The undersigned also establishes that Olga Kapitskaia has effectively refused to sign within the required time period to avoid abandonment of the present application.

7. The undersigned declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or of any patent issued thereon.

  
\_\_\_\_\_  
Felicia Adadevoh  
Patent Prosecution Specialist

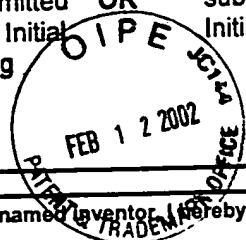
  
\_\_\_\_\_  
Date

# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration  
Submitted  
with Initial  
Filing

OR

☒ Declaration  
submitted after  
Initial Filing



Attorney Docket Number

2000-0

First Named Inventor

Olga Kapitskaia

## COMPLETE IF KNOWN

Application Number

09/841834

Filing Date

04/25/2001

Group Art Unit

2152

Examiner Name

RECEIVED  
FEB 15 2002

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method For Using Query Templates In Directory Caches

(Title of Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on 04/25/2001 as United States Application Number or PCT International

Application Number 09/841834

and was amended on

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of an application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/199541	04/25/2000	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

Attorney Docket Number: 2000-0255

# DECLARATION - Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

Place Customer Number Bar Code Label here

OR

☒ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
CANAVAN, Robert T.	37592	CONOVER, Michele L.	34962
DELACRUZ, Cedric G.	36498	DWORETSKY, Samuel H.	27873
GARG, Rohini K.	45272	ISAACSON, Thomas M.	44166
LEE, Benjamin S.	42787	LEVY, Robert B.	28234
MCGAHAN, Susan E.	35948	MONKA, Gary H.	35290

☒ I also appoint the following additional registered practitioner(s) named on the Registered Practitioner Information (Supplemental Sheet) (PTO/SB/02C modified by AT&T Corp.) attached hereto with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith.

Direct all phone inquiries to: Lee, Benjamin S. 908-221-5438

Direct all written correspondence to:

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME	Samuel H. Dworetsky		
ADDRESS	AT&T CORP. P.O. Box 4110		
CITY	Middletown	STATE	New Jersey
		ZIP CODE	07748-4110
COUNTRY	United States of America	FAX	732-368-6932

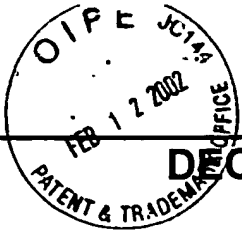
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor ☐ A petition has been filed for this unsigned inventor

Name	Olga Kapitskaia		
Signature		Date	
Citizenship	Russian Federation		
Address (line 1)	32 bi rue du Cotentin		
Address (line 2)	75015 Paris		
Address (line 3)			
Address (line 4)			
Address (line 5)	France		
Zip Code			

☒ Additional Inventors are being named on the 1 separately numbered sheets attached hereto

SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231



Attorney Docket Number: 2000-0255

**DECLARATION****ADDITIONAL INVENTOR(S)**

Supplemental Sheet

Page of

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Name Raymond Ng

Signature

Date

Dec 28, 2001

Citizenship Canada

Address (line 1) 805-5775 Hampton Place

Address (line 2) Vancouver

Address (line 3)

Address (line 4) BC

Address (line 5) CANADA

Zip Code V6T2G6

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Name Divesh Srivastava

Signature

Date

Citizenship India

Address (line 1) 152 Mountain Avenue

Address (line 2) Summit

Address (line 3) Union County

Address (line 4) New Jersey

Address (line 5) USA

Zip Code 07901

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Name

Signature

Date

Citizenship

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Address (line 5)

Zip Code

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Name

Signature

Date

Citizenship

Address (line 1)

Address (line 2)

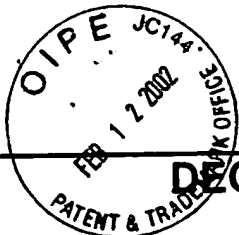
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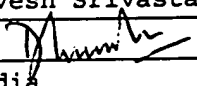
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Attorney Docket Number: 2000-0255

**DECLARATION****ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
**Page of**

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Name	Raymond Ng		
Signature		Date	
Citizenship	Canada		
Address (line 1)	805-5775 Hampton Place		
Address (line 2)	Vancouver		
Address (line 3)			
Address (line 4)	BC		
Address (line 5)	CANADA		
Zip Code	V6T2G6		
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Name	Divesh Srivastava		
Signature		Date	19 SEP 2001
Citizenship	India		
Address (line 1)	152 Mountain Avenue		
Address (line 2)	Summit		
Address (line 3)	Union County		
Address (line 4)	New Jersey		
Address (line 5)	USA		
Zip Code	07901		
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Name			
Signature		Date	
Citizenship			
Address (line 1)			
Address (line 2)			
Address (line 3)			
Address (line 4)			
Address (line 5)			
Zip Code			
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Name			
Signature		Date	
Citizenship			
Address (line 1)			
Address (line 2)			
Address (line 3)			
Address (line 4)			
Address (line 5)			
Zip Code			

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# DECLARATION -- Supplemental Priority Data Sheet

**Additional Foreign Applications:**

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
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**Additional Provisional applications:**

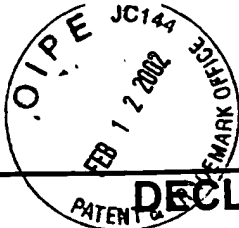
Application Number(s)	Filing Date( MM/DD/YYYY)

**Additional U.S. applications:**

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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Attorney Docket Number: 2000-0255

**DECLARATION****Registered Practitioner  
Information  
(Supplemental Sheet)**

Name	Registration Number	Name	Registration Number
NAVON, Jeffrey M. STEINMETZ, Alfred G. ZIEROTH, Lee W.	32711 22971 28550	RESTAINO, Thomas A. SZWERC, Christine	33444 43177

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